## MEMORANDUM OF UNDERSTANDING

# UNIQUE IDENTIFICATION AUTHORITY OF INDIA

## AND

## STATE GOVERNMENT, HARYANA.

#### MEMORANDUM OF UNDERSTANDING

This Memorandum of Understanding (MOU) is entered into on this 10<sup>th</sup> day of June, 2010 between the President of India acting through the Unique Identification Authority of India ( hereinafter referred to as UIDIA) which expression shall, unless excluded by or repugnant to the contract be deemed to include his successors in office and assignees of the first part; and

the Governor of Haryana acting through Principal Secretary to Government of Haryana, Electronics & Information Technology Department (hereinafter referred to as "the State Government)" which expression shall, unless excluded by or repugnant to the contract be deemed to include his successors and assignees of the second part;

Whereas the Government of India has set up Unique Identification Authority of India (UIDA) with the mandate to issue Unique Identification Numbers (UID) to all residents of India (UID project)

And whereas, the State Government would like to enhance efficiency in delivery of government benefits and services through accurate identification of beneficiaries and to have uniform standards and processes for verification and identification of beneficiaries;

And whereas, in order to implement the UID project in the State of Haryana, the State Government of Haryana is entering into this MoU with the UIDAI;

And whereas the State Government has set up a **State Level Empowered Committee** of Cabinet vide notification no. 7/1/2009-2 Cabinet dated 31<sup>st</sup> May,2010 to oversee the implementation of the UID project in the state;

And whereas this MoU shall come into effect from 10 June, 2010.

#### For the purpose of this MoU,

(i) "**Registrars**" means Departments or Agencies of the State Government, who, in normal course of implementation of some of their programs or activities interact with the residents and are authorized by the State Governments to enroll residents into the UID System, i.e Rural Development. Department (for NREGS) or Civil Supplies and Consumer Affairs Department (for TPDS);

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(ii) **"Enrolling Agencies"** means entities hired by the State Government or Registrars to perform enrolment functions on behalf of the Registrar.

### UID Project and the scope of the MoU

- 1. The UIDAI has the mandate from the Government of India to issue unique identification numbers (UID) to residents of India based on demographic and biometric data of the individual. UIDAI shall partner with State Government and other agencies leveraging their existing infrastructure in order to implement the UID project. These Agencies shall be called the Registrars of UIDAI.
- 2. The UIDAI shall set standards and processes for enrolment to be uniformly followed by all Registrars and Enrolling Agencies. The UIDAI shall issue UIDs after checking that the resident applying for UID does not already have a record and UID number in the UID database. In addition, the UIDAI shall provide online, real-time authentication service.
- 3. The UID project shall be implemented in a phased manner; the UIDAI shall be conducting proof of concept studies and pilots to test the working of the technology and process of enrolment and shall require the co-operation of the State Government and Registrars in this regard.
- 4. This MoU between the UIDAI and the Government of Haryana sets out below, the general and broad-based intentions of both parties for collaboration and as an umbrella of understanding for facilitation of subsequent agreements and documents relevant for the implementation of the UID project in the State of Haryana.
- 5. The UIDAI shall prescribe standards, procedures and processes which shall be adhered to by the Registrars identified / appointed by the State Government.
- 6. In the interest of clarity and to reduce ambiguity, the UIDAI shall execute additional agreements and documents to capture details about implementation of UID Project with Registrars identified/ appointed by the State Government.
- 7. UIDAI shall:
- (a) develop and prescribe standards for recording data fields, data verification and biometric fields;
- (b) Prescribe a process for enrolment of residents this shall include among other things the process for collection of biometric data;

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- (c) prescribe the standards and criteria to be fulfilled by an agency to be appointed as a Registrar;
- (d) Provide/prescribe the software that shall be used for the enrolment of people into the UID database in order to issue the UID;
- de-duplicate the database of the residents on the basis of the demographic and biometric data and issue UIDs to only those whose uniqueness of identity has been established and after ensuring that the person has not enrolled in the UID database before;
- (f) issue a letter communicating the UID directly to the person who has been allotted UID after de-duplication. UIDAI shall also communicate the UID electronically with the Registrar in UIDAI prescribed format;
- (g) authenticate the identity of a person with a UID number as per the protocols prescribed by the UIDAI;
- (h) prescribe protocols for record keeping and maintenance of the information collected for the issuance of a UID;
- (i) prescribe protocols for transmission of the data collected for de-duplication;
- (j) prescribe protocols to ensure the confidentiality, privacy and security of data;
- (k) prescribe limits for fees that may be charged for issuing a UID;
- (I) Prescribe protocols fro spreading and communicating the message, content and intent of the UID project. Since the UID logo and brand name are properties of the UIDAI, the UIDAI shall prescribe the manner and limits of the use of UIDAI logo, brand name, brand design and other communication and awareness materials;
- (m) prescribe other protocols, processes and standards and that the UIDAI may deem necessary for the implementation of the UID project;
- (n) conduct periodic audit of the enrolment process and to this end shall have the power to visit and inspect offices of the Registrar and Enrolling Agencies. Such audits are necessary to ensure the integrity of the enrolment process and to ensure uniformity across the country;
- (o) prescribe mechanisms for resolution of grievances that the residents may have during enrolment and authentication.
- (p) evolve a suitable funding mechanism for enrollment of residents into the UID system.

#### 8. The State Government shall:

- (a) co-operate and collaborate with the UIDAI in conducting proof of concept (PoC) studies and pilots to test the working of the technology and process of enrolment into the UID database;
- (b) identify Registrars for the implementation of the UID project (including PoC and pilots).
  Ensure that the Registrar shall do all that is necessary and required in order to effectively complete the PoC's and pilots;

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- (c). follow the criteria and process for appointment of Registrars and enrolling agencies prescribed by the UIDAI.
- (d) put in place and institutional mechanism to effectively oversee and monitor the implementation of the UID project in general and monitor specifically Registrars and enrolling agents appointed by the Registrar;
- (e) provide required financial and other resources to the Registrars to carry out the enrollment processes as per the phasing decided by the State Government;
- (f) cooperate and collaborate with and provide all assistance and support to the Deputy Director Generals (DDG) concerned of the UIDAI and other staff members/consultants/advisors of the UIDAI to effectively implement the UID project in the State of Haryana;
- (g) provide logistic and liaison support to the staff and representatives of UIDAI when they visit the Registrar and Enrolling agencies implementing the UID project;
- (h) work with the UIDAI to resolve difficulties faced on the ground in the implementation of the UID project;
- follow the process set out by the UIDAI for resolution of difficulties and conflict regarding matters concerning the UID project.

9. The following is an indicative list of the obligations of the Registrar. Notwithstanding anything contained in this clause, this list can be expanded or elaborated as required to ensure integrity and uniformity of enrolment into the UID database. In order to implement the UID project, the Registrars shall:

- (a) either do the enrolment directly or through Enrolment Agencies who shall be identified and appointed by the Registrars (UIDAI may recommend certain criteria to be fulfilled to be an Enrolling Agency). The Enrolment Agencies shall be working on behalf of the Registrars and shall be accountable to the Registrars; therefore they should follow all the standards, protocols, processes laid down by the UIDAI to implement the UID project. Registrars must ensure compliance by the Enrolling Agencies of the standards, protocols, processes laid down by the UIDAI on a continuous basis;
- (b) follow the standards for data fields, data verification and biometric fields prescribed by the UIDAI;
- (c) follow the process for enrolment of residents; this shall include among other things the process for collection of biometric data prescribed by the UIDAI;
- (d) use the software developed by the UIDAI for the enrolment of people into the UID database for the issuance of the UID number;
- (e) use only those devices and IT systems whose specifications have been approved by the UIDAI;
- (f) follow the protocols prescribed by the UIDAI for record keeping and maintenance;

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- (g) follow the process and systems prescribed by the UIDAI for transmission of the data collected for de-duplication;
- (h) follow the confidentiality, privacy and security protocols prescribed by the UIDAI;
- (i) have the option to charge a fee for the UID service but the fees charged from residents cannot be higher than the maximum amount prescribed by the UIDAI in this regard;
- (j) follow protocols prescribed by the UIDAI for spreading and communicating the message, content and intent of the UID project, Since the UID logo and brand name are properties of the UIDAI, the UIDAI will prescribe the manner and limits of the use of UIDAI logo, brand name, brand design and other communication and awareness materials;
- (k) follow protocols, processes and standards prescribed by the UIDAI for the implementation of the UID project;
- allow the UIDAI to conduct periodic audit of the enrolment process and to visit and inspect the offices and records of the Registrar and Enrolment Agencies and any other place the UIDAI or its empowered agency may deem necessary for their purpose;
- submit periodic reports of enrolment to the UIDAI in the form and manner prescribed by the UIDAI;
- provide logistic and liaison support to the staff and agents of UIDAI when they visit the Registrar and Enrolling agencies implementing the UID project;
- provide information related to the UID project to the UID from time to time as requested by the UIDAI;
- (p) work with the UIDAI to resolve difficulties faced on the ground in the implementation of the UID project;
- (q) follow the process set out by the UIDAI for resolution of difficulties and conflict regarding matters concerning the UID project.

#### Miscellaneous

- 10. In Situations where the processes and standards for enrolment set by the UIDAI are not followed or are violated (willfully or otherwise) by the Registrar and/or an Enrolling agency, the UIDAI shall make reasonable attempts to discuss and attempt to resolve difficulties with the State Government. Pursuant to which if the recommendations of the UIDAI are not implemented and the matter settled to the satisfaction of both the parties, the UIDAI shall have the option to de-register the concerned Registrar and / or demand replacement of a concerned Enrolment agency as the case may be.
- 11. Any provision of this MOU may be amended or waived if, and only if, such amendment or waiver is evidenced by a written instrument signed by duly authorized representatives of the parties, or, in the case of a waiver, by the Party against whom the waiver is to be effective.

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**IN WITENESS WHEREOF,** the parties have caused this MoU to be executed by their duly authorized representatives on the date first set forth above.

Signature Signature: Name R. S. Sharma, IAS Name: Y.S. Malik, IAS Designation: Director General, Designation: Principal Secretary, Electronics & Unique Identification Authority, Information Technology Deptt. of India Date: 10.6.2010 Date : 10.6.2010 For and on behalf of the president of India. For and on behalf of the Governor of Haryana. Witness Witness 1. Signature (1) Signature Amitabh Avasthi Name Name Ankur Gupta, IAS Designation: ADG, UIDIA Designation: Special Secretary, IT Deptt., Haryana. 10.6.2010 Date 10.6.2010 Date Sall 2. Signature (2) Signature Charu Bali / Name : Name Ravinder Singh Designation ADG, UIDIA Designation: Administrative Officer, IT Department, Haryana. Date 10.6.2010 Date 10.6.2010

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