CIRCULAR

Subject: Application Form along with Guidelines for submission of proposals for use of Aadhaar authentication under the Aadhaar Authentication for Good Governance (Social Welfare, Innovation, Knowledge) Rules, 2020-regarding

Ministry of Electronics and Information Technology (MeitY) has notified the Aadhaar Authentication for Good Governance (Social Welfare, Innovation, Knowledge) Rules, 2020 in the Gazette of India on 05.08.2020 (copy enclosed).

2. As per Rule 4 of aforesaid notification, the Ministry or the Department of the Government of India or the State Government, as the case may be, desirous of utilising Aadhaar authentication for a purpose specified in rule 3 shall prepare a proposal with justification in regard to such purpose for which Aadhaar authentication is sought and submit the same to the Central Government for making a reference to the Authority.

3. In this regard, an Application Form (Annexure-I) along with Guidelines (Annexure-II), for submission of proposals for use of Aadhaar authentication under above rules, is enclosed herewith for reference.

This has the approval of competent authority.

(Archana Dureja)
Scientist ‘G’/Sr. Director
Tel. no. 24362528

To
1. Secretaries of all Ministries/ Departments of Govt. of India
2. Chief Secretaries of all States/ UTs
APPLICATION TO MEITY FOR ALLOWING USE OF AADHAAR AUTHENTICATION

{under Rule 4 of the Aadhaar Authentication for Good Governance (Social Welfare, Innovation, Knowledge) Rules, 2020}

To,
The Secretary,
The Ministry of Electronics and IT,
Government of India,
Electronics Niketan,
6, CGO Complex,
Lodhi Road, New Delhi – 110 003.

Sir/Madam,

The ………………….. (Name of Ministry/Department of Central or State government) would like to use Aadhaar authentication services for ……………………………..(use-case) as per the provisions of Section 4(4)(b)(ii) of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016. This application is being made under Rule 4 of the Aadhaar Authentication for Good Governance (Social Welfare, Innovation, Knowledge) Rules, 2020 for approval of the purpose of use of Aadhaar to the Central Government.

The details of the use-case, applicable purpose, justification and proposed Authentication User Agency/KYC User Agency are provided in the prescribed format at Annexure I. Any other information/document will be provided immediately to the Ministry or UIDAI, as and when required.

(Signed by)
Secretary of the Ministry/Head of Department
Annexure I

(Please refer to guidelines in Annexure II for preparing the proposal and application form).

### Applicant Ministry/Department Details

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<tbody>
<tr>
<td>1.</td>
<td>Name of Applicant Ministry/Department</td>
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<tr>
<td>2.</td>
<td>Registered office address</td>
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<td>3.</td>
<td>Correspondence address</td>
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### Contact Details

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<td>4.</td>
<td>Contact Name</td>
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<td>5.</td>
<td>Designation</td>
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<td>Email Address</td>
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<td>Mobile Number</td>
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<td>8.</td>
<td>Office Number</td>
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### Brief Description of initiative / scheme

(Ecosystem and processes involved in achievement or delivery of benefit)

### Authentication Requirements

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<tr>
<td>10.</td>
<td>Proposed Aadhaar authentication service Use case</td>
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| 11. | Aadhaar Authentication service Use case will meet following Purpose-
       (a) usage of digital platforms to ensure good governance; 
       (b) prevention of dissipation of social welfare benefits; and 
       (c) enablement of innovation and the spread of knowledge. 
       {Ref: Rule 3 of the Aadhaar Authentication for Good Governance (Social Welfare, Innovation, Knowledge) Rules, 2020} |
| 12. | Justification for the Use-case to be considered for the above purpose |
| 13. | Name of proposed Authentication User Agency (AUA) / e-KYC User Agency (KUA) for the use of Aadhaar Authentication services |
| 14. | Aadhaar Authentication services proposed to be used (Please select one) |
|     | Yes/No Authentication |
|     | Yes/No and eKYC |

Other details (May be attached separately)

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<td>15.</td>
<td>Technology Architecture of the authentication system to be deployed</td>
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<td>Attached (Yes/No)</td>
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<td>16.</td>
<td>Service delivery process using Aadhaar authentication and eKYC</td>
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<td>Attached (Yes/No)</td>
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<td>17.</td>
<td>In principal commitment of the Ministry/Department to comply with all security and privacy provisions of the Aadhaar Act, 2016, regulations thereunder and various guidelines issued by UIDAI</td>
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<td>(Yes/No)</td>
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(Signed by)

Secretary of the Ministry/Head of Department
Annexure-II


The Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 (Aadhaar Act) was amended in 2019 following Supreme Court’s judgment dated 26.09.2018 in Writ Petition (Civil) No. 494 of 2012 [Justice K S Puttaswamy (retd) & anr vs Union of India vs ors]. The amendments include addition of sub-section (4) in Section 4 of the Aadhaar Act reproduced below:

“(4) An entity may be allowed to perform authentication, if the Authority is satisfied that the requesting entity is –

(a) compliant with such standards of privacy and security as may be specified by regulations; and

(b) (i) permitted to offer authentication services under the provisions of any other law made by Parliament; or

(ii) seeking authentication for such purpose, as the Central Government in consultation with the Authority, and in the interest of State, may prescribe.”

2. Further, clause (aa) was added in sub-section (2) of section 53 enabling the Central Government to make rules for “the purpose for which the requesting entity may be allowed by the Authority to perform authentication under sub-clause (ii) of clause (b) of sub-section (4) of section 4”.

3. Accordingly, the Central Government has notified the Aadhaar Authentication for Good Governance (Social Welfare, Innovation, Knowledge) Rules, 2020 (the Rules) published in the official gazette on 05.08.2020 (Annexe) permitting Aadhaar authentication on voluntary basis for following purposes (Rule 3):

(i) usage of digital platforms to ensure good governance;

(ii) prevention of dissipation of social welfare benefits; and

(iii) enablement of innovation and spread of knowledge.

4. Proposals for Aadhaar authentication can be prepared for consideration of the Central Government by a Department or Ministry of Government of India or a State Government. The proposal may be prepared keeping in view the following aspects:

(i) Proposals which would be eligible for consideration under section 7 (i.e. involving giving of subsidy, benefit or service for which expenditure is incurred from
Consolidated Fund of India or Consolidated Fund of State) may be made under the provisions of that section only and in accordance with UIDAI’s Circular No. 1-1/2019-UIDAI(DBT) dated 25.11.2019.

(ii) Proposals which do not qualify for consideration under section 7, but fulfil one or more of the purposes may be preferred under the Rules.

(iii) The proposal should contain a brief description of the initiative or scheme highlighting the benefit that would accrue from its implementation. Such benefit should be relatable to the purpose(s) specified under Rule 3, for example, by facilitating participation, accountability, transparency, responsiveness, efficiency, effectiveness, equity, inclusion etc. which reduce or eliminate transaction costs, or promote ease of living of residents or enable better access to services for them. The justification in regard to the purpose(s) under Rule 3 should be brought out clearly.

(iv) The proposal should briefly explain the eco-system and the processes involved in achievement or delivery of the benefit.

(v) It should specify the type of Aadhaar authentication service proposed to be used (whether yes/no authentication or yes/no and e-KYC authentication).

(vi) The name of Authentication User Agency (AUA)/e-KYC User Agency (KUA) proposed for the use of Aadhaar authentication services should be mentioned.

(vii) Technology architecture of the authentication system to be deployed should be highlighted.

(ix) The service delivery process using yes/no authentication and eKYC should be described.

(x) In principal commitment of the sponsoring Ministry/Department or State Government to comply with all security and privacy provisions of the Aadhaar Act, 2016, regulations thereunder and guidelines issued by UIDAI from time to time should be clearly indicated in the proposal.

(xi) The proposal should be sent with the approval of the Secretary of the Ministry/Department in the Central Government, or the State Government, as the case maybe, to the Secretary, Ministry of Electronics & Information Technology, Government of India, Electronics Niketan, 6, CGO Complex, Lodhi Road, New Delhi – 110 003.

(xii) The contact details of the officer incharge of the proposal (name, designation, address, email, mobile number) should be indicated.

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इलेक्ट्रॉनिकी और सूचना प्रौद्योगिकी मंत्रालय
अभिसूचना
नई दिल्ली, 5 अगस्त, 2020

सा.का.निति.490(अ)—आधार (वित्तीय और अन्य सहायक क्षेत्रों, लाभों और सेवाओं का निलंबन परिवर्तन) अधिनियम, 2016 (2016 का 18वां), ये धारा 53 की उप-धारा (2) के खंड (कक) के साथ पठित धारा 53 की उप धारा (1) द्वारा प्रदत्त वश्यालेश्वर का प्रयोग करते हुए, केंद्र सरकार एवं द्वारा भारतीय विशिष्ट पहचान प्राधिकरण के परामर्श से निर्देशित नियम बनाती है: -

1. संस्कृत नाम और प्रबुद्ध होना— (1) ये नियम सुधारन के लिए आधार अधिग्रहण (समाज कल्याण, नवाचार, ज्ञान) नियमावली, 2020 कहलाएगी।

(2) ये सरकारी राजपत्र में अपने प्रकाशन की तारीख से प्रबुद्ध होगे।

2. परिवर्तन— इन नियमों में संदर्भ के अनुसार जब तक कि अन्यथा अपेक्षित न हो—

(क) "अधिनियम" से अभिवervative आधार (वित्तीय और अन्य सहायक क्षेत्रों, लाभों और सेवाओं का निलंबन परिवर्तन) अधिनियम, 2016 (2016 का 18) है;

(ख) "प्राधिकरण" से अभिवervative अधिनियम की धारा 11 की उप-धारा (1) के तहत स्थापित भारतीय विशिष्ट पहचान प्राधिकरण है;
Definitions

(a) “Act” means the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 (18 of 2016);

(b) “Authority” means the Unique Identification Authority of India established under sub-section (1) of section 11 of the Act;

(c) Words and expressions used and not defined in these rules shall have the same meaning assigned to them in the Act or in the Information Technology Act, 2000 (21 of 2000).

(2) They shall come into force on the date of their publication in the Official Gazette.

NOTIFICATION

New Delhi, the 5th August, 2020

G.S.R.490(E).—In exercise of the powers conferred by sub – section (1) of section 53 read with clause (aa) of sub-section (2) of section 53 of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 (18 of 2016), the Central Government, in consultation with Unique Identification Authority of India, hereby makes the following rules, namely:–

1. Short title and commencement.— (1) These rules may be called the Aadhaar Authentication for Good Governance (Social Welfare, Innovation, Knowledge) Rules, 2020.

2. Definitions.— In these rules, unless the context otherwise requires,—

(a) “Act” means the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 (18 of 2016);

(b) “Authority” means the Unique Identification Authority of India established under sub-section (1) of section 11 of the Act;

(c) Words and expressions used and not defined in these rules shall have the same meaning assigned to them in the Act or in the Information Technology Act, 2000 (21 of 2000).
3. **Purposes for Aadhaar authentication.**— (1) The Central Government may allow Aadhaar authentication by requesting entities in the interest of good governance, preventing leakage of public funds, promoting ease of living of residents and enabling better access to services for them, for the following purposes, namely:

   (a) usage of digital platforms to ensure good governance;

   (b) prevention of dissipation of social welfare benefits; and

   (c) enablement of innovation and the spread of knowledge.

(2) Aadhaar authentication under sub-rule (1) shall be on a voluntary basis.

4. **Preparation of proposal.**— The Ministry or the Department of the Government of India or the State Government, as the case may be, desirous of utilising Aadhaar authentication for a purpose specified in rule 3 shall prepare a proposal with justification in regard to such purpose for which Aadhaar authentication is sought and submit the same to the Central Government for making a reference to the Authority.

5. **Examination of proposal.**— On receipt of the proposal under rule 4, if the Authority is satisfied that the proposal is in accordance with the purposes mentioned in rule 3 and the provisions of the Act, it shall inform the Central Government that the requesting entity may be allowed to perform Aadhaar authentication and thereafter, the Ministry or the Department of the Government of India or the State Government, as the case may be, may be authorised by the Central Government to notify the same accordingly.

[F.No. 13(6)/2018-EG-II(Vol-II)]

Dr. RAJENDRA KUMAR, Addl. Secy.